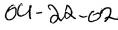


217494US8/smc





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

SERIAL NO:

10/015,627

GAU:

FILED:

December 17, 2001

EXAMINER:

FOR:

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF

AND AMPLIFIER FOR OPTICAL FIBER

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Registration No.

Joseph A. Scafetta, Jr.

Registration No. 26,803

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DOCKET NO: 217494US8

SERIAL NO: 10/015,627

Sheet <u>1</u> of <u>1</u>

Group Art Unit:

STATEMENT OF RELEVANCY

Reference AA (US 5,589,684)on Form 1449:

This reference is discussed in the specification.

	/	PR 0 3 2002				Sł	HEET 1 OF 1	
Form PTO 1449 PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 217494US8		SERIAL NO.		
				APPLICANT			10/015,627	
LIST OF REFERENCES CITED BY APPLICANT				Sadayoshi KANEM/		ARU, et al. GROUP		
				December 17, 2001		GROOF		
			U	S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	5,589,684	12/31/96	Brian F. VENTRUDO, et al.				
	АВ	6,331,913	12/18/2001	Yonglin HUANG, et al.				
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		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
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Examiner					Date Con	Date Considered		
*Examiner: In conformance	itial if r and no	eference is considered, t considered. Include co	whether or not o	citation is in conformance with MPEP with next communication to applicant.	609; Draw li	ne through	citation if not in	